

Spectral Data for Real Regular KP-2 solutions on Rational Degenerations of M-curves and tropical M-curves

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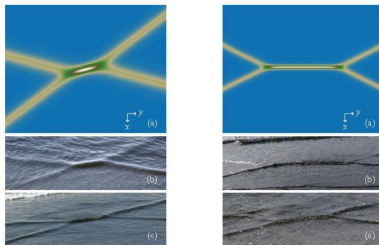
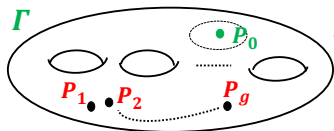
Sofia, Bulgaria

May 29, 2024





Wikipedia



M.A. Ablowitz and D.E. Baldwin Phys. Rev E, v. 86 (2012)

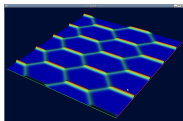
KP-2 equation [KP-1970]: $(-4u_t + 6uu_x + u_{xxx})_x + 3u_{yy} = 0$

is the first member of the most relevant 2 + 1 integrable hierarchy [ZS-1974].

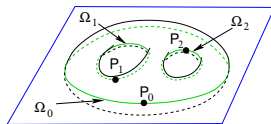
Problem: investigate relations of spectral problems for real-regular finite-gap solutions and real regular multi-line soliton solutions to solve problems in real algebraic geometry and tropical geometry

Tropicalization of (real) regular KP-2 finite-gap solutions

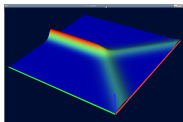
Real regular finite-gap KP-2 solutions \leftrightarrow non-special divisors on regular M curves



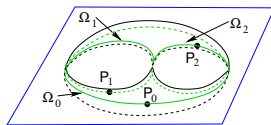
\leftrightarrow
[DN-1988]



tropicalization
(solitonic limit) \downarrow



\leftarrow



KP-2 solitons \leftarrow

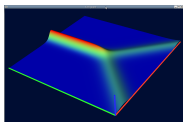
spectral data on reducible rational curves

[Nak-2018],[Nak-2019]: Tropicalization in the Sato Grassmannian in special cases

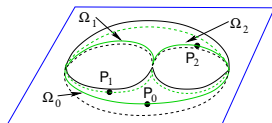
[ACSS-21], [AFMS-23], [FM-24]: Computational approach to tropicalization of algebraic curves using KP theory

[Ich-23] 1-dimensional families of M-curves degenerate to rational curves and corresponding regular finite-gap solutions degenerate to soliton solutions

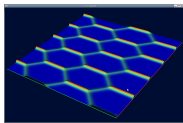
Real regular multiline KP-2 solitons \rightarrow spectral data on reducible spectral curve fulfilling DN theorem [AG-2018...]



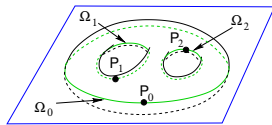
\rightarrow
[AG-2018...]



[AG-2018...] \downarrow



\leftrightarrow
[DN-1988]



Real regular finite-gap KP-2 solutions \leftrightarrow spectral data on M-curves fulfilling DN theorem

Real regular soliton data are points in $Gr^{TNN}(k, n)$ encoded by planar bicolored (plabic) networks in the disk.

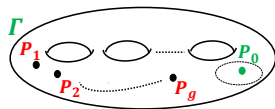
[AG-2018a, AG-2018b, AG-2019, AG-2022c]: Use **combinatorial structure of $Gr^{TNN}(k, n)$** to solve a degenerate spectral problem for **real regular multiline KP solitons** on tropical M-curves: we prove that plabic graphs are dual to the topological model of such tropical curve and consistently assign spectral data (divisor) solving a system of relations on the graph.

- KP-2 regular finite gap solutions (Krichever 1976, Dubrovin-Natanzon 1988)
- KP-2 real regular multiline soliton solutions (Matveev 1979, Freeman and Nimmo 1983, Malanyuk 1991, Chakravarthy-Kodama 2009, Kodama-Williams 2013,2014,...)

Finite-gap solutions for KP-2 (Krichever)

Algebraic geometric data:

$$(\Gamma, P_0, \zeta) \quad \zeta^{-1}(P_0)=0$$



Families of **regular** quasi-periodic solutions $u(\vec{x})$ on (Γ, P_0) , Γ non-singular genus g algebraic curve with marked point P_0 , are parametrized by non special divisors

$$\mathcal{D} = (P_1, \dots, P_g). \text{ Here } \vec{x} = (x, y, t).$$

There exists a unique normalized KP wave-function $\Psi(P, \vec{x})$, meromorphic on $\Gamma \setminus \{P_0\}$, with poles in \mathcal{D} and asymptotics at P_0 ($\zeta^{-1}(P_0) = 0$):

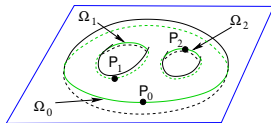
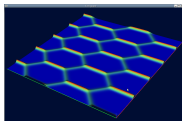
$$\Psi(\zeta, \vec{x}) = \left(1 - \frac{w_1(\vec{x})}{\zeta} + O(\zeta^{-2})\right) e^{\zeta x + \zeta^2 y + \zeta^3 t + \dots} \quad (\zeta \rightarrow \infty).$$

$$u(\vec{x}) = 2\partial_x^2 \log \Theta(xU^{(1)} + yU^{(2)} + tU^{(3)}) + c_1$$

Real Finite gap KP-2 solutions (Dubrovin-Natanzon)

[DN-1988]: Smooth, **real** (quasi-)periodic KP-2 solutions $u(x, y, t)$ correspond to **real and regular divisors on smooth M-curves**:

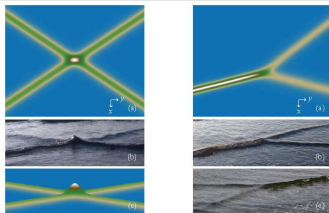
- Γ possesses an antiholomorphic involution which fixes the maximum number $g + 1$ of ovals, $\Omega_0, \dots, \Omega_g$;
- $P_0 \in \Omega_0$ (infinite oval) and the divisor points $P_j \in \Omega_j$, $j = 1, \dots, g$ (finite ovals).



Question: how to effectively construct such solutions? How to identify M-curves and spectral data fulfilling DN theorem?

Idea: associate degenerate spectral problems on reducible M-curves to real-regular KP-2 multi-line solitons solutions, check DN theorem for the degenerate problem and open gaps

KP-2 multi-line soliton solutions via the Wronskian method



Left: $A = \begin{bmatrix} 1 & 4 & 0 & 0 \\ 0 & 0 & 1 & 4 \end{bmatrix}$, $\kappa_1 = \frac{7}{12}, \kappa_2 = \frac{1}{12}, \kappa_3 = \frac{1}{12}, \kappa_4 = \frac{7}{12}$

Right: $A = \begin{bmatrix} 1 & 0 & -1 \\ 0 & 1 & \frac{1}{2} \end{bmatrix}$, $\kappa_1 = 0, \kappa_2 = \frac{1}{2}, \kappa_3 = 1$

[Mat-1979], [FN-1983], [Mal-1991] $A \in \text{Mat}_{\mathbb{R}}(k, n)$, $\mathcal{K} = \{\kappa_1 < \dots < \kappa_n\}$:

$$f^{(i)}(x, y, t) = \sum_{j=1}^n A_j^i \exp(\kappa_j x + \kappa_j^2 y + \kappa_j^3 t), \quad i \in [k]$$

$$\tau(x, y, t) = \text{Wr}_x(f^{(1)}, \dots, f^{(k)}) = \sum_{1 \leq j_1 < \dots < j_k \leq n} \Delta_{[j_1, \dots, j_k]}(A) E_{j_1, \dots, j_k}(x, y, t)$$

KP-2 soliton solution: $u(x, y, t) = 2\partial_x^2 \log(\tau(x, y, t))$

- same $u(x, y, t)$ if recombine rows of $A \implies [A] \in \text{Gr}(k, n) = \text{GL}_{\mathbb{R}}(k) \backslash \text{Mat}_{\mathbb{R}}(k, n)$
- u is bounded for real $(x, y, t) \iff [A] \in \text{Gr}^{\text{TNN}}(k, n) = \text{GL}_{\mathbb{R}}^+(k) \backslash \text{Mat}_{\mathbb{R}}^{\text{TNN}}(k, n)$ [KW-2013])

Direct spectral problem for KP-2 solitons [Mal-1991]

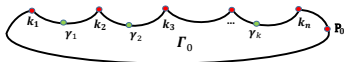
Soliton data: $(\mathcal{K}, [A]) \mapsto$ Sato algebraic geometric data: $(\Gamma_0, P_0, \zeta; \mathcal{D}_S^{(k)})$

Γ_0 copy of \mathbb{CP}^1 , ζ such that $\zeta^{-1}(P_0) = 0$ and $\zeta(\kappa_j) = \kappa_j$.

$$\mathcal{D} = \partial_x^k - w_1(\mathbf{t})\partial_x^{k-1} - \dots - w_k(\mathbf{t}) = W\partial_x^k,$$

$$\mathcal{D}f_j(\vec{x}) \equiv 0$$

W = Dressing operator in Sato Grassmannian for $(\mathcal{K}, [A])!$



Sato divisor $\mathcal{D}_{S, \Gamma_0} = \{\gamma_j : \gamma_j^k - w_1(\vec{x}_0)\gamma_j^{k-1} - \dots - w_{k-1}(\vec{x}_0)\gamma_j - w_k(\vec{x}_0) = 0\}$

$\gamma_j \in [\kappa_1, \kappa_n]$, $j \in [k]$ and for a.a. \vec{x}_0 γ_j are distinct.

Incompleteness of Sato algebraic-geometric data:

$$k = \deg(\mathcal{D}_{S, \Gamma_0}) < \dim(\text{Gr}^{\text{TNN}}(k, n)) = k(n - k)$$

Conclusion: it is not possible to reconstruct the soliton solution from the degree k divisor $\mathcal{D}_{S, \Gamma_0}$!!!!

Recap:

- KP-2 multi-line soliton solutions are represented by points $[A] \in Gr^{TNN}(k, n)$

Direct spectral problem [Mal-1991]: $(\Gamma_0 = CP^1, P_0)$ and divisor $P_1, \dots, P_k \in [\kappa_1, \kappa_n]$

[AG-2018, AG-2019, AG-2022c]: **Complete Sato divisor** using Krichever approach to degenerate finite-gap solutions !!!!

Step 1) Construct a rational reducible M-curve Γ such that Γ_0 is a component.

Question: how to choose the curve? We had the idea to **use the planar graphs classifying the soliton data in $Gr^{TNN}(k, n)$** to get the topological model of the curve

Step 2) Extend KP-2 wave function from Γ_0 to Γ so that DN thm holds true (need to control the value of the wave-function at nodes and intersection of rational components)

We had the idea to **use systems of relations on the graph** since the edges represent nodes and intersection points of the rational components

◇ **Total non-negativity** \implies reality and regularity DN conditions: one divisor point in each finite oval

◇ [Luszt-1990s] generalizes the classical notion of total positivity in GL_n to reductive Lie groups and generalized partial flag varieties; cell decomposition of $(G/P)_{\geq 0}$ (Rietsch, Ph.D. thesis).

◇ [Pos-2006] characterizes the cell decomposition of $Gr^{\text{TNN}}(k, n)$ combinatorially and using graph theory:

A **positroid cell** $\mathcal{S}_{\mathcal{M}}^{\text{TNN}}$ in $Gr^{\text{TNN}}(k, n)$ is the equivalence class of the totally non-negative $k \times n$ matrices sharing the same matroid (=the same list of positive maximal minors, all other maximal minors are zero). $\mathcal{S}_{\mathcal{M}}^{\text{TNN}}$ is represented by a Young diagram filled with the Le-rule.

$\mathcal{S}_{\mathcal{M}}^{\text{TNN}}$ is represented by an equivalence class of **perfectly orientable planar bicolored graphs in the disk** (real positive weights on edges of the graph) :

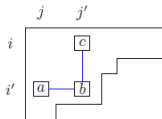
- n univalent vertices on the boundary of the disk and k of them are sources in each perfect orientation;
- At each internal black vertex, exactly one edge oriented outward;
- At each internal white vertex, exactly one edge oriented inward.



Representation of $S_{\mathcal{M}}^{\text{TNN}}$ via Le-diagrams [Pos-2006]

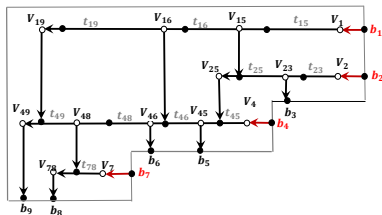
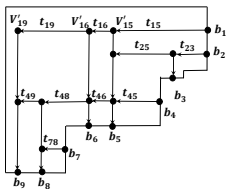
Postnikov constructs a bijection between $S_{\mathcal{M}}^{\text{TNN}} \subset Gr^{\text{TNN}}(k, n)$ and { Le-diagrams } in $k \times n$ boxes.

A **Le-diagram** is a filling of Young diagram with 0's and 1's s.t. for any 3 boxes (i, j) , (i, j') , (i', j') , with $i < i', j < j'$, $a, c = 1 \implies b = 1$:



Le diagram (tableau) \iff perfectly oriented bipartite Le-graph (network) in the disk:

t_{19}	0	t_{16}	t_{15}	0	1
0	0	0	t_{25}	t_{23}	
t_{49}	t_{48}	t_{46}	t_{45}	4	2
0	t_{78}	7			



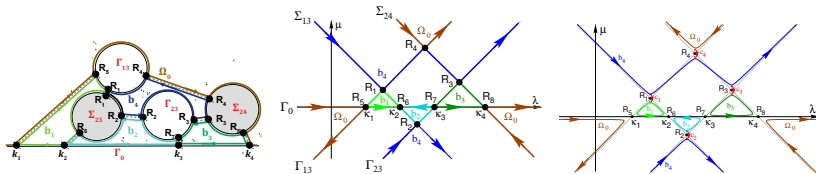
[Pos-2006]: Classification of planar networks in the disk representing the same point $[A] \in S_{\mathcal{M}}^{\text{TNN}}$ using moves and reductions

Step 1: Γ rational degeneration of M-curve [AG-2019,AG-2022]

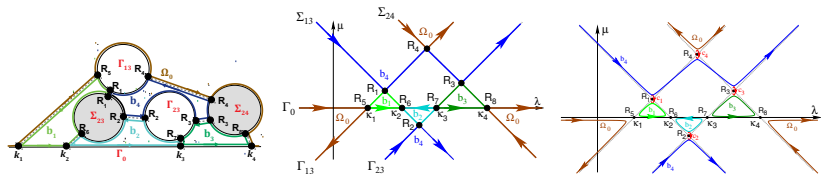
- Take soliton data in $\mathcal{S}_{\mathcal{M}}^{\text{TNN}}$ and choose a graph in the disk \mathcal{G} representing $\mathcal{S}_{\mathcal{M}}^{\text{TNN}}$ in Postnikov classification.
- \mathcal{G} is dual to the reducible rational curve Γ :

\mathcal{G}	Γ
Boundary of disk	Sato component Γ_0
Boundary vertex b_j	Marked point κ_j on Γ_0
Internal black vertex V'_s	Copy of \mathbb{CP}^1 denoted Σ_s
Internal white vertex V_j	Copy of \mathbb{CP}^1 denoted Γ_j
Edge e	Double point
Face f	Oval

- Perturb Γ to Γ_ϵ opening gaps so that Γ_ϵ is an M-curve of genus $g = F - 1$, where F is the number of faces of the graph ($g \geq \dim \mathcal{S}_{\mathcal{M}}^{\text{TNN}}$, [AG-2019]: have = for the Le-graph)



Soliton lattices of KP-2 and desingularization of spectral curves in $Gr^{TP}(2, 4)$ [AG-2018b]



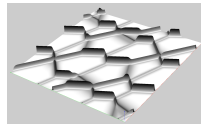
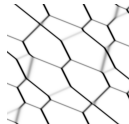
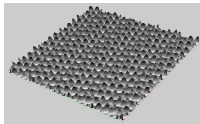
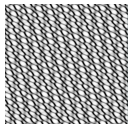
$$0 = P_0(\lambda, \mu) = \mu \cdot (\mu - (\lambda - \kappa_1)) \cdot (\mu + (\lambda - \kappa_2)) \cdot (\mu - (\lambda - \kappa_3)) \cdot (\mu + (\lambda - \kappa_4)).$$

Genus 4 M-curve after desingularization:

$$\Gamma(\varepsilon) : \quad P(\lambda, \mu) = P_0(\lambda, \mu) + \varepsilon(\beta^2 - \mu^2) = 0, \quad 0 < \varepsilon \ll 1,$$

$$\beta = \frac{\kappa_4 - \kappa_1}{4} + \frac{1}{4} \max \{ \kappa_2 - \kappa_1, \kappa_3 - \kappa_2, \kappa_4 - \kappa_3 \},$$

$$\kappa_1 = -1.5, \quad \kappa_2 = -0.75, \quad \kappa_3 = 0.5, \quad \kappa_4 = 2.$$



Level plots for KP-2 finite gap solutions: $\varepsilon = 10^{-2}$ [left], $\varepsilon = 10^{-18}$ [right].

Horizontal axis is $-60 \leq x \leq 60$, vertical axis is $0 \leq y \leq 120$, $t = 0$.

White (black) = lowest (highest) value of u .

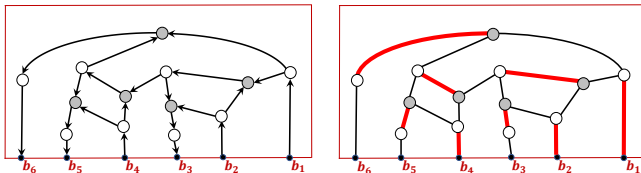
- Dimer models were introduced in [Kas-1961] and [TF-1961] to describe crystal surfaces at equilibrium like partially dissolved salt crystals.

[PSW-2009]: **Dimer configuration** on $\mathcal{G} = (\mathcal{V} = \mathcal{B} \cup \mathcal{W}, \mathcal{E})$ is a collection M of edges of \mathcal{G} that contains exactly once internal vertices, and at most once the n **boundary vertices**.

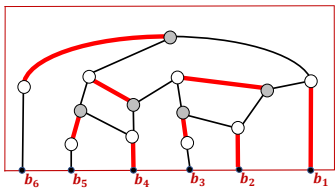
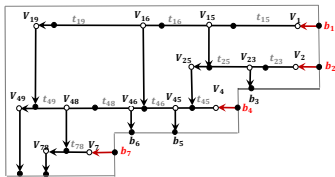
$$k = \partial M = \{i \in [n] : \text{black boundary vertex } b_i \in M\} \cup \{i \in [n] : \text{white boundary vertex } b_i \notin M\}.$$

Perfect orientations \iff dimer configurations

Example: $Gr^{TP}(3, 6)$:



Boundary measurement maps in $Gr^{TNN}(k, n)$ and dimer partition functions



[Pos-2006]: $[A] \in Gr^{TNN}(k, n)$:

$$A_j^i = (-1)^{\sigma_{ij}} \sum_{P: b_{i_r} \mapsto b_j} (-1)^{\text{Wind}(P)} \text{wt}(P)$$

$\sigma_{ij} = \#\{\text{sources between } i_r \text{ and } j\}$;

$$\text{wt}(P) = \prod_{e \in P} t_e$$

[Lam-2016]: Weight of dimer state M :

$$\text{wt}(M) = \prod_{e \in M} t_e$$

The partition function $Z(G, t; \partial M)$ relative to $\partial M = I$ is the I -th

Plücker coordinate of $[A] \in Gr^{TNN}(k, n)$:

$$Z(G, \text{wt}; \partial M) = \sum_{M: \partial M = I} \text{wt}(M) = D_I(A)$$

- [AG-2022a, AG-2022b]: $[A]$ can be computed solving a **geometric system of relations**
- [AG-2022c]: these relations provide the **value of the KP-2 wavefunction at the double points of Γ** (Step 2)
- [A-2021]: the system of relations is associated to a **Kasteleyn sign matrix in the case of bipartite graphs**

The Kasteleyn matrix on planar bipartite graphs in the disk

Classical Kasteleyn theorem: count dimer configurations in a planar bipartite graph as the determinant of a $|\mathcal{W}| \times |\mathcal{B}|$ square matrix K whose entries K_b^w are ± 1 if there is an edge joining $b \in \mathcal{B}$ and $w \in \mathcal{W}$, and 0 otherwise.

[Speyer 2016]: $\mathcal{G} = (\mathcal{B} \cup \mathcal{W}, \mathcal{E})$ planar bipartite graph in the disk representing $\mathcal{S}_{\mathcal{M}}^{\text{TNN}} \in Gr^{\text{TNN}}(k, n)$ with black boundary vertices: $|\mathcal{W}| = N + k$, $|\mathcal{B}| = N + n$.

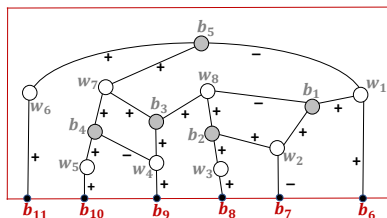
Label black vertices s.t. boundary vertices are labeled clockwise in increasing order b_{N+1}, \dots, b_{N+n} . Then there exists $\sigma : \mathcal{E} \mapsto \{\pm 1\}$ such that, given the Kasteleyn matrix K^{wt} :

$$(K^{wt})_b^w = \begin{cases} \sigma_{bw} t_{bw}, & \text{if } (b, w) \text{ is an edge;} \\ 0, & \text{otherwise,} \end{cases}$$

then $|\det(K^{wt})_I|$ are the Plücker coordinates of $[A]$ in Postnikov parametrization of $Gr^{\text{TNN}}(k, n)$, and

$$K^{wt} \mapsto \begin{array}{c} N \quad n \\ \left(\begin{array}{c|c} \text{Id}_N & * \\ \hline 0 & A \end{array} \right) \end{array}$$

The proof of Speyer is topological. In our construction we provide an explicit characterization of such signatures



$\mathcal{G} = (\mathcal{V} = \mathcal{B} \cup \mathcal{W}, \mathcal{E})$ with boundary vertices of equal color. A function $\sigma : \mathcal{E} \mapsto \{\pm 1\}$ is a Kasteleyn signature in the sense of Speyer if and only if, for any finite face Ω , the total signature of the face fulfills

$$\prod_{e \in \partial\Omega} \sigma(e) = (-1)^{\frac{|\Omega|}{2} + 1},$$

where $|\Omega|$ = number of edges bounding the face Ω ,

[A-2021] There is a unique equivalence class of Kasteleyn signatures on \mathcal{G} and it coincides with the geometric signature constructed in [AG-2022a, AG-2022b] in the case of bipartite graphs

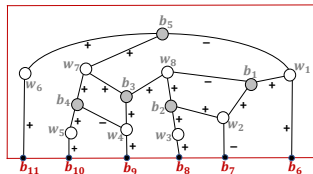
Step 2: Kasteleyn system of relations and KP wave function

- K^{wt} Kasteleyn matrix
- V a vector space

Kasteleyn system of relations ($v = \{v_b : b \in \mathcal{B}\}, R_w$):

▷ v_b is an element in V assigned to the black vertex $b \in \mathcal{B}$;

▷ At white vertex $w \in \mathcal{W}$: $0 = R_w(v) \equiv \sum_{b \in \mathcal{B}} (K^{wt})_b^w v_b \equiv \sum_{b \in \mathcal{B}} \sigma_{bw} t_{bw} v_b$.

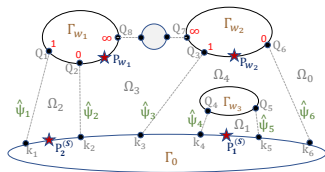


$$K^{wt} = \begin{array}{c} N \\ k \end{array} \begin{array}{c|c} N & n \\ \hline \text{Id}_N & * \\ 0 & A \end{array}$$

◇ KP soliton wave function on Γ_0 : $0 \equiv \mathcal{D}f_i(\vec{x}) \equiv \sum_{j=1}^n A_j^i \psi(\kappa_j, x, y, t)$

If assign at boundary vertex b_j : $v_{b_j} = \psi(\kappa_j, x, y, t)$, then the system $R_w(v) = 0$ is solvable and gives $\psi(\kappa, x, y, t)$ at the intersection/nodal points of the reducible curve dual to the graph!

[AG-2022a, AG-2022b]: explicit solution to the linear system at internal vertices (generalization of Talaska's formula)



- **Generalized Talaska formula** gives the value of the KP wave function at the double points:

$$\psi(Q, \vec{x}) = \sum_{j=1}^n (E_e)_j \psi(\kappa_j, \vec{x})$$

- **Kasteleyn relations** at white trivalent vertices rule the position of the KP divisor in the ovals:

$$\gamma_w \equiv \zeta(P_w) = \frac{K_{w,b_1}^{\sigma, wt} \psi(Q_1, \vec{x}_0)}{K_{w,b_1}^{\sigma, wt} \psi(Q_1, \vec{x}_0) + K_{w,b_2}^{\sigma, wt} \psi(Q_2, \vec{x}_0)}$$

- **Kasteleyn face signature** implies one divisor point in each finite oval

- [A-2017] S. Abenda *On a family of KP multi-line solitons associated to rational degenerations of real hyperelliptic curves and to the finite non-periodic Toda hierarchy*, J.Geom.Phys. **119** (2017) 112–138.
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