

# On Finite $W$ -algebras and Yangians

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## 1. INTRODUCTION

- A *finite  $W$ -algebra* is a certain associative algebra attached to a pair  $(\mathfrak{g}, e)$  where  $\mathfrak{g}$  is a complex semi-simple Lie algebra or a classical Lie superalgebra and  $e \in \mathfrak{g}$  is an even nilpotent element.
- A finite  $W$ -algebra is a generalization of the universal enveloping algebra  $U(\mathfrak{g})$ .
- It is a quantization of the Poisson algebra of functions on the Slodowy slice at  $e$  to the orbit  $Ad(G)e$ , where  $\mathfrak{g} = Lie(G)$ .
- Due to recent results of I. Losev, A. Premet and others, finite  $W$ -algebras play a very important role in description of primitive ideals.
- Finite  $W$ -algebras for semi-simple Lie algebras were introduced by A. Premet.
- Finite  $W$ -algebras for Lie algebras and superalgebras have been extensively studied by mathematicians and physicists: L. Fehér, C. Briot, E. Ragoucy, A. Premet, I. Losev, V. Ginzburg, W. L. Gan, J. Brundan, J. Brown, S. Goodwin, W. Wang, L. Zhao, Y. Zeng, B. Shu.

## 2. FINITE $W$ -ALGEBRAS FOR LIE SUPERALGEBRAS

Let  $\mathfrak{g} = \mathfrak{g}_{\bar{0}} \oplus \mathfrak{g}_{\bar{1}}$  be a Lie superalgebra with reductive even part  $\mathfrak{g}_{\bar{0}}$ ,

$\chi \in \mathfrak{g}_{\bar{0}}^* \subset \mathfrak{g}^*$  be an even element in the coadjoint representation,

$G_{\bar{0}}$  be the algebraic reductive group of  $\mathfrak{g}_{\bar{0}}$ .

**Definition.**  $\chi$  is **nilpotent** if the closure of the  $G_{\bar{0}}$ -orbit in  $\mathfrak{g}_{\bar{0}}^*$  contains zero.

Let  $\mathfrak{g}^\chi$  be the **annihilator** of  $\chi$  in  $\mathfrak{g}$ :

$$\mathfrak{g}^\chi = \{x \in \mathfrak{g} \mid \chi([x, \mathfrak{g}]) = 0\}$$

**Definition.** A **good  $\mathbb{Z}$ -grading** for  $\chi$  is a  $\mathbb{Z}$ -grading  $\mathfrak{g} = \bigoplus_{j \in \mathbb{Z}} \mathfrak{g}_j$

satisfying the following two conditions:

(1)  $\chi(\mathfrak{g}_j) = 0$  if  $j \neq -2$ ,

(2)  $\mathfrak{g}^\chi$  belongs to  $\bigoplus_{j \geq 0} \mathfrak{g}_j$ .

- $\chi([\cdot, \cdot]) : \mathfrak{g}_{-1} \times \mathfrak{g}_{-1} \rightarrow \mathbb{C}$  is a non-degenerate (super)skew-symmetric even bilinear form on  $\mathfrak{g}_{-1}$ .
- Let  $\mathfrak{l}$  be a maximal isotropic (Lagrangian) subspace with respect to this form.

Let  $\mathfrak{m} = (\bigoplus_{j \leq -2} \mathfrak{g}_j) \oplus \mathfrak{l}$ . The restriction of  $\chi$  to  $\mathfrak{m}$

$$\chi : \mathfrak{m} \longrightarrow \mathbb{C}$$

defines a one-dimensional representation  $C_\chi = \langle v \rangle$  of  $\mathfrak{m}$ .

### Definition. The generalized Whittaker module

is the induced  $\mathfrak{g}$ -module

$$Q_\chi := U(\mathfrak{g}) \otimes_{U(\mathfrak{m})} C_\chi \cong U(\mathfrak{g})/I_\chi,$$

where  $I_\chi$  is the left ideal of  $U(\mathfrak{g})$  generated by  $a - \chi(a)$  for all  $a \in \mathfrak{m}$ .

**Definition. The finite  $W$ -algebra** associated to the nilpotent element  $\chi$  is

$$W_\chi := \text{End}_{U(\mathfrak{g})}(Q_\chi)^{op}$$

- Let  $\pi : U(\mathfrak{g}) \rightarrow U(\mathfrak{g})/I_\chi$  be the natural projection. Then

$$W_\chi = (Q_\chi)^{\mathfrak{m}} = \{\pi(y) \in U(\mathfrak{g})/I_\chi \mid \text{ad}(a)y \in I_\chi \text{ for all } a \in \mathfrak{m}\}$$

The algebra structure on  $W_\chi$  is given by

$$\pi(y_1)\pi(y_2) = \pi(y_1y_2)$$

for  $y_i \in U(\mathfrak{g})$  such that  $\text{ad}(a)y_i \in I_\chi$  for all  $a \in \mathfrak{m}$  and  $i = 1, 2$ .

- As in the Lie algebra case, the superalgebras  $W_\chi$  are all isomorphic for different choices of good  $\mathbb{Z}$ -gradings and maximal isotropic subspaces  $\mathfrak{l}$ .
- If  $\mathfrak{g}$  admits an even non-degenerate invariant supersymmetric bilinear form, then  $\mathfrak{g} \simeq \mathfrak{g}^*$  and  $\chi(x) = (e|x)$  for some nilpotent  $e \in \mathfrak{g}_{\bar{0}}$ .
- By *the Jacobson–Morozov theorem*  $e$  can be included in  $\mathfrak{sl}(2) = \langle e, h, f \rangle$ .

The linear operator  $\text{ad}h$  defines a **Dynkin**  $\mathbb{Z}$ -grading  $\mathfrak{g} = \bigoplus_{j \in \mathbb{Z}} \mathfrak{g}_j$ , where

$$\mathfrak{g}_j = \{x \in \mathfrak{g} \mid \text{ad}h(x) = jx\}.$$

## Remark.

- (1) The Dynkin  $\mathbb{Z}$ -grading is good for  $\chi$ ,
- (2)  $e \in \mathfrak{g}_2$ .

**Example.** Let  $\chi = 0$ . Then  $\mathfrak{m} = 0$ ,

$$Q_\chi = U(\mathfrak{g}), \quad W_\chi = U(\mathfrak{g}).$$

**Definition.** A nilpotent  $\chi \in \mathfrak{g}_0^*$  is called **regular** if the  $G_{\bar{0}}$ -orbit of  $\chi$  has maximal dimension, i.e. the dimension of  $\mathfrak{g}_0^\chi$  is minimal.

**Theorem.** (*B. Kostant, 1978*)

For a regular nilpotent  $\chi$  and a reductive Lie algebra  $\mathfrak{g}$  the algebra  $W_\chi$  is isomorphic to the center of  $U(\mathfrak{g})$ .

- Theorem of Kostant does not hold for Lie superalgebras, since  $W_\chi$  must have a non-trivial odd part, and the center of  $U(\mathfrak{g})$  is even.

- J. Brown, J. Brundan and S. Goodwin proved that the finite  $W$ -algebra for the *general linear Lie superalgebra*  $\mathfrak{g} = \mathfrak{gl}(l|k)$  associated to **regular (principal)** nilpotent element is a certain truncation of a shifted version of the super-Yangian  $Y(\mathfrak{gl}(1|1))$ .  
(*Algebra Numb. Theory, 2013*).
- We described the finite  $W$ -algebra for the *queer Lie superalgebra*  $Q(n)$  associated to **regular** nilpotent element as a quotient of the super-Yangian  $Y(Q(1))$ .  
(To appear in *Adv. Math.*)

**Recall:** For a finite-dimensional semi-simple Lie algebra  $\mathfrak{g}$ , the **Yangian** of  $\mathfrak{g}$  is an infinite-dimensional *Hopf algebra*  $Y(\mathfrak{g})$ . It is a deformation of the universal enveloping algebra of the Lie algebra of polynomial currents of  $\mathfrak{g}$ .

### 3. THE SUPER-YANGIAN OF $\mathfrak{gl}(1|1)$

$$\mathfrak{gl}(1|1) = \left\{ A = \begin{pmatrix} c & b \\ 0 & d \end{pmatrix} \mid a, b, c, d \in \mathbb{C} \right\} \quad [A, B] = AB - (-1)^{p(A)p(B)}BA$$

**Definition.** The **super-Yangian**  $Y = Y(\mathfrak{gl}(1|1))$  is an associative unital superalgebra over  $\mathbb{C}$  with a countable set of generators

$$t_{i,j}^{(r)} \text{ where } i, j = 1, 2, \text{ and } r \geq 0.$$

The  $\mathbb{Z}_2$ -grading of  $Y$  is defined by

$$p(t_{i,j}^{(r)}) = p(i) + p(j).$$

We employ the formal series:

$$t_{i,j}(u) = \sum_{r \geq 0} t_{i,j}^{(r)} u^{-r} \in Y[[u^{-1}]].$$

• Relations in  $Y$ :

$$(u - v)[t_{i,j}(u), t_{k,l}(v)] = (-1)^{p(i)p(k) + p(i)p(l) + p(k)p(l)} ((t_{k,j}(u)t_{i,l}(v) - t_{k,j}(v)t_{i,l}(u)).$$

## 4. PRINCIPAL $W$ -ALGEBRA $W_\pi$

$\mathfrak{g} = \mathfrak{gl}(l|k)$  is a general linear Lie superalgebra (assume that  $l \geq k$ )

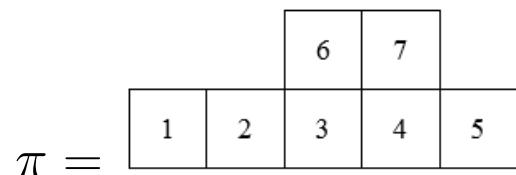
**Definition.**  $\pi$  is a two-rowed **pyramid**:

$k$  is the number of boxes in the 1-st row,

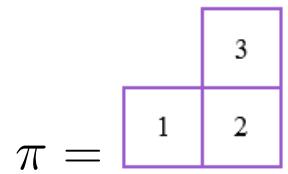
$l$  is the number of boxes in the 2-nd row.

**Example.**

$\mathfrak{g} = \mathfrak{gl}(5|2)$  ( $l = 5$ ,  $k = 2$ )



$\mathfrak{g} = \mathfrak{gl}(2|1)$  ( $l = 2$ ,  $k = 1$ )



- Pyramid  $\pi$  defines  **$\mathbb{Z}$ -grading** on  $\mathfrak{g}$ :

$$\mathfrak{g} = \bigoplus_{r \in \mathbb{Z}} \mathfrak{g}(r) \quad \deg(e_{i,j}) := \text{col}(j) - \text{col}(i), \quad \mathfrak{h} := \mathfrak{g}(0)$$

- The explicit **principal** nilpotent element  $e$  is

$$e := \sum_{i,j} e_{i,j} \in \mathfrak{g}_{\bar{0}}$$

summing over all adjacent pairs of boxes in  $\pi$ .

**Example.**

$$\mathfrak{g} = \mathfrak{gl}(5|2) \quad \pi = \begin{array}{|c|c|c|c|c|} \hline & & 6 & 7 & \\ \hline 1 & 2 & 3 & 4 & 5 \\ \hline \end{array} \quad e = e_{1,2} + e_{2,3} + e_{3,4} + e_{4,5} + e_{6,7}$$

- It is a **good**  $\mathbb{Z}$ -grading for  $e$ :

$$e \in \mathfrak{g}(1) \text{ and } \mathfrak{g}^e := \text{Ker}(ade) \subseteq \bigoplus_{r \geq 0} \mathfrak{g}(r)$$

- Set  $\chi(x) := (e|x)$  for  $x \in \mathfrak{g}$

**Remark.** We double the degree to agree with the previous definition of a good  $\mathbb{Z}$ -grading.

**Definition.** The **principal  $W$ -algebra**  $W_\pi$  **associated to the pyramid**  $\pi$

is defined as usual.

**Definition.** Matrix  $\sigma = \begin{pmatrix} 0 & s_{1,2} \\ s_{2,1} & 0 \end{pmatrix}$  is **compatible** with pyramid  $\pi$  if  $\pi$  has  $s_{2,1}$  columns of height one on its *left* side and  $s_{1,2}$  columns of height one on its *right* side, or if  $k = 0$  and  $l = s_{2,1} + s_{1,2}$ .

- $l = k + s_{1,2} + s_{2,1}$

**Example.**

$$\mathfrak{g} = \mathfrak{gl}(5|2), l = 5, k = 2$$

$$\pi = \begin{array}{ccccc} & & 6 & 7 & \\ & & \boxed{1} & 2 & 3 & 4 & 5 \end{array}$$

$$\sigma = \begin{pmatrix} 0 & 1 \\ 2 & 0 \end{pmatrix}$$

$$\mathfrak{g} = \mathfrak{gl}(2|1), l = 2, k = 1$$

$$\pi = \begin{array}{cc} & 3 \\ 1 & 2 \end{array}$$

$$\sigma = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$$

$$\mathfrak{g} = \mathfrak{gl}(2|2), l = 2, k = 2$$

$$\pi = \begin{array}{cc} 3 & 4 \\ \hline 1 & 2 \end{array}$$

$$\sigma = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}$$

**Theorem.** (Brown-Brundan-Goodwin, 2012)

The principal  $W$ -algebra  $W_\pi$  for  $\mathfrak{gl}(l|k)$  is isomorphic to the **shifted super-Yangian**  $Y_\sigma^l$  **of level**  $l$ .

*Idea of Proof.* Define **the Miura transform**:

$$\mu : W_\pi \longrightarrow U(\mathfrak{h}) \cong U(\mathfrak{gl}_1)^{\otimes s_{2,1}} \otimes U(\mathfrak{gl}(1|1))^{\otimes k} \otimes U(\mathfrak{gl}_1)^{\otimes s_{1,2}},$$

where  $U(\mathfrak{gl}_1) := \mathbb{C}[e_{1,1}]$ .

$\mu$  is injective and

$$\mu(W_\pi) = Y_\sigma^l \cong Y_\sigma / I_\sigma^l,$$

where  $Y_\sigma$  is the **shifted super-Yangian** (a subalgebra of  $Y$ ),

and  $I_\sigma^l$  is a two-sided ideal.

- $Y_\sigma^l \subset U(\mathfrak{gl}_1)^{\otimes s_{2,1}} \otimes U(\mathfrak{gl}(1|1))^{\otimes k} \otimes U(\mathfrak{gl}_1)^{\otimes s_{1,2}}$  is the image of  $Y_\sigma$  under homomorphism defined using the comultiplication on  $Y$  and the evaluation map  $Y \rightarrow U(\mathfrak{gl}(1|1))$ .

## 5. THE QUEER LIE SUPERALGEBRA $\mathfrak{g} = \mathbf{Q}(\mathbf{n})$

$$Q(n) = \left\{ \left( \begin{array}{c|c} A & B \\ \hline B & A \end{array} \right) \mid A, B \text{ are } n \times n \text{ matrices} \right\}$$

$e_{i,j}$  and  $f_{i,j}$  are standard bases in  $A$  and  $B$  respectively:

$$e_{i,j} = \left( \begin{array}{c|c} E_{ij} & 0 \\ \hline 0 & E_{ij} \end{array} \right), \quad f_{i,j} = \left( \begin{array}{c|c} 0 & E_{ij} \\ \hline E_{ij} & 0 \end{array} \right)$$

$z = \sum_{i=1}^n e_{i,i}$  is a central element

$Q(n)$  admits an **odd** nondegenerate  $\mathfrak{g}$ -invariant super symmetric bilinear form

$$(x|y) := otr(xy) \text{ for } x, y \in \mathfrak{g},$$

where  $otr \left( \begin{array}{c|c} A & B \\ \hline B & A \end{array} \right) = tr B$ .

Let  $\mathfrak{sl}(2) = \langle e, h, f \rangle$ , where

$$e = \sum_{i=1}^{n-1} e_{i,i+1}, \quad h = \text{diag}(n-1, n-3, \dots, 3-n, 1-n), \quad f = \sum_{i=1}^{n-1} i(n-i)e_{i+1,i}.$$

$e$  is a regular nilpotent element.

$h$  defines an **even** Dynkin  $\mathbb{Z}$ -grading of  $\mathfrak{g}$  whose degrees on the elementary matrices are

$$\left( \begin{array}{cccc|cccc} 0 & 2 & \cdots & 2n-2 & 0 & 2 & \cdots & 2n-2 \\ -2 & 0 & \cdots & 2n-4 & -2 & 0 & \cdots & 2n-4 \\ \cdots & \cdots \\ 2-2n & \cdots & \cdots & 0 & 2-2n & \cdots & \cdots & 0 \\ \hline 0 & 2 & \cdots & 2n-2 & 0 & 2 & \cdots & 2n-2 \\ -2 & 0 & \cdots & 2n-4 & -2 & 0 & \cdots & 2n-4 \\ \cdots & \cdots \\ 2-2n & \cdots & \cdots & 0 & 2-2n & \cdots & \cdots & 0 \end{array} \right)$$

Replace  $e = \sum_{i=1}^{n-1} e_{i,i+1}$  by  $E = \sum_{i=1}^{n-1} f_{i,i+1} \Rightarrow E$  is odd.

Define  $\chi \in \mathfrak{g}_0^*$  by  $\chi(x) = (x|E)$ .

$$\mathfrak{m} := \bigoplus_{j=2}^n \mathfrak{g}_{2-2j}.$$

It is generated by  $e_{i+1,i}$  and  $f_{i+1,i}$  where  $i = 1, \dots, n-1$

$$\chi(e_{i+1,i}) = 1, \quad \chi(f_{i+1,i}) = 0$$

- The left ideal  $I_\chi$  and  $W_\chi$  are defined as usual.

$$\mathfrak{p} := \bigoplus_{j=0}^{n-1} \mathfrak{g}_{2j}$$

is a **parabolic subalgebra** of  $\mathfrak{g}$ ,

$\mathfrak{g}_0$  is a **Cartan subalgebra**:

$$\mathfrak{g}_0 = \mathfrak{h} = \langle x_i, \xi_i \mid i = 1, \dots, n \rangle, \text{ where } x_i = e_{i,i}, \xi_i = f_{i,i}$$

- Since the good  $\mathbb{Z}$ -grading is *even*, then  $W_\chi$  can be regarded as a *subalgebra* of  $U(\mathfrak{p})$ .

## 6. THE HARISH-CHANDRA HOMOMORPHISM

$$U(\mathfrak{p})^+ := \bigoplus_{i>0} U(\mathfrak{p})_i$$

is a two sided ideal in  $U(\mathfrak{p})$  and  $U(\mathfrak{p})/U(\mathfrak{p})^+ \cong U(\mathfrak{g}_0) = U(\mathfrak{h})$ .

- Let  $\vartheta : U(\mathfrak{p}) \longrightarrow U(\mathfrak{h})$  be the natural projection.

**Theorem.** (*P–S*) The restriction of  $\vartheta$  on  $W_\chi$  is injective:

$$\vartheta : W_\chi \longrightarrow U(\mathfrak{h}).$$

- A. Sergeev defined by induction the elements  $e_{i,j}^{(m)}$  and  $f_{i,j}^{(m)}$  belonging to  $U(Q(n))$ :

$$e_{i,j}^{(m)} = \sum_{k=1}^n e_{i,k} e_{k,j}^{(m-1)} + (-1)^{m+1} \sum f_{i,k} f_{k,j}^{(m-1)},$$

$$f_{i,j}^{(m)} = \sum_{k=1}^n e_{i,k} f_{k,j}^{(m-1)} + (-1)^{m+1} \sum f_{i,k} e_{k,j}^{(m-1)}.$$

**Theorem.** *(P-S)*

$W_\chi$  has  $n$  even generators:  $\pi(e_{n,1}^{(n+k-1)})$  and  $n$  odd generators:  $\pi(f_{n,1}^{(n+k-1)})$ ,  $k = 1, \dots, n$ .

The images of these generators under the Harish-Chandra homomorphism are the following elements in  $U(\mathfrak{h})$ :

$$\vartheta(\pi(e_{n,1}^{(n+k-1)})) = \left[ \sum_{i_1 \geq i_2 \geq \dots \geq i_k} (x_{i_1} + (-1)^{k+1} \xi_{i_1}) \dots (x_{i_{k-1}} - \xi_{i_{k-1}}) (x_{i_k} + \xi_{i_k}) \right]_{even},$$

$$\vartheta(\pi(f_{n,1}^{(n+k-1)})) = \left[ \sum_{i_1 \geq i_2 \geq \dots \geq i_k} (x_{i_1} + (-1)^{k+1} \xi_{i_1}) \dots (x_{i_{k-1}} - \xi_{i_{k-1}}) (x_{i_k} + \xi_{i_k}) \right]_{odd}.$$

## 7. The super-Yangian of $Q(n)$

- Super-Yangian  $Y(Q(n))$  was studied by M. Nazarov and A. Sergeev.
- $Y(Q(n))$  is the associative unital superalgebra over  $\mathbb{C}$  with the countable set of generators

$t_{i,j}^{(r)}$  where  $r = 1, 2, \dots$  and  $i, j = \pm 1, \pm 2, \dots, \pm n$

The  $\mathbb{Z}_2$ -grading of the algebra  $Y(Q(n))$ :

$$p(t_{i,j}^{(r)}) = p(i) + p(j), \text{ where } p(i) = 0 \text{ if } i > 0 \text{ and } p(i) = 1 \text{ if } i < 0$$

- We employ the formal series:

$$t_{i,j}(u) = \sum_{r \geq 0} t_{i,j}^{(r)} u^{-r} \in Y(Q(n))[[u^{-1}]].$$

- The relations in  $Y(Q(n))[[u^{-1}, v^{-1}]]$ :

$$\begin{aligned} & (u^2 - v^2)[t_{i,j}(u), t_{k,l}(v)] \cdot (-1)^{p(i)p(k) + p(i)p(l) + p(k)p(l)} \\ &= (u + v)(t_{k,j}(u)T_{i,l}(v) - t_{k,j}(v)T_{i,l}(u)) \\ &\quad - (u - v)(t_{-k,j}(u)T_{-i,l}(v) - t_{k,-j}(v)T_{i,-l}(u)) \cdot (-1)^{p(k) + p(l)} \end{aligned}$$

- We also have the relations

$$t_{i,j}(-u) = t_{-i,-j}(u)$$

- From now on we will use only  $Y(Q(1))$ .

$$Q(1) = \left\{ \left( \begin{array}{c|c} a & b \\ \hline b & a \end{array} \right) \mid a, b \in \mathbb{C} \right\}$$

$Y(Q(1))$  is generated by  $t_{1,1}^{(k)}$  (even) and  $t_{-1,1}^{(k)}$  (odd) for  $k = 1, 2, \dots$

**The Main Theorem.** (*P–S*) There exists a surjective homomorphism:

$$\varphi : Y(Q(1)) \longrightarrow W_\chi$$

defined as follows:

$$\varphi(t_{1,1}^{(k)}) = (-1)^k \pi(e_{n,1}^{(n+k-1)}),$$

$$\varphi(t_{-1,1}^{(k)}) = (-1)^k \pi(f_{n,1}^{(n+k-1)}), \quad \text{for } k = 1, 2, \dots$$

- $Y(Q(1))$  is a Hopf superalgebra with comultiplication given by the formula

$$\Delta(t_{i,j}^{(r)}) = \sum_{s=0}^r \sum_k (-1)^{(p(i)+p(k))(p(j)+p(k))} t_{i,k}^{(s)} \otimes t_{k,j}^{(r-s)}.$$

- **The opposite comultiplication:**

$$\Delta^{op}(t_{i,j}^{(r)}) = \sum_{s=0}^r \sum_k t_{k,j}^{(r-s)} \otimes t_{i,k}^{(s)}.$$

- Homomorphism of associative algebras:

$$\Delta_n^{op} : Y(Q(1)) \rightarrow Y(Q(1))^{\otimes n}$$

$$\Delta_n^{op} := \Delta_{n-1,n}^{op} \cdots \circ \Delta_{2,3}^{op} \circ \Delta^{op}$$

- The evaluation homomorphism  $U : Y(Q(1)) \rightarrow U(Q(1))$ :

$$t_{1,1}^{(r)} \mapsto (-1)^r e_{1,1}^{(r)}, \quad t_{-1,1}^{(r)} \mapsto (-1)^r f_{1,1}^{(r)}.$$

- Identify  $U(\mathfrak{h}) \subset U(Q(n))$  with  $U(Q(1))^{\otimes n}$  by setting

$$x_i \mapsto 1^{\otimes n-i} \otimes e_{1,1} \otimes 1^{\otimes i-1}, \quad \xi_i \mapsto 1^{\otimes n-i} \otimes f_{1,1} \otimes 1^{\otimes i-1}.$$

- Obtain the homomorphism

$$(U^{\otimes n} \circ \Delta_n^{op}) : Y(Q(1)) \longrightarrow U(\mathfrak{h}),$$

where

$$(U^{\otimes n} \circ \Delta_n^{op})(t_{1,1}^{(k)} + t_{-1,1}^{(k)}) = (-1)^k \sum_{i_1 \geq i_2 \geq \dots \geq i_k} (x_{i_1} + (-1)^{k+1} \xi_{i_1}) \dots (x_{i_{k-1}} - \xi_{i_{k-1}}) (x_{i_k} + \xi_{i_k})$$

## Proof of the Main Theorem.

We use that the Harish-Chandra homomorphism  $\vartheta : W_\chi \rightarrow U(\mathfrak{h})$  is injective.

We also have that

$$\left( U^{\otimes n} \circ \Delta_n^{op} \right) (t_{1,1}^{(k)}) = (-1)^k \vartheta(\pi(e_{n,1}^{(n+k-1)})) \quad (even)$$

$$\left( U^{\otimes n} \circ \Delta_n^{op} \right) (t_{-1,1}^{(k)}) = (-1)^k \vartheta(\pi(f_{n,1}^{(n+k-1)})) \quad (odd)$$

Hence

$$\varphi := \vartheta^{-1} \circ U^{\otimes n} \circ \Delta_n^{op}$$

is a surjective homomorphism  $\varphi : Y(Q(1)) \longrightarrow W_\chi$ .

**Remark.** The proof of above theorem uses the same method as in *J. Brundan, A. Kleshchev, Adv. Math., 2006*, but we start with

$$U : Y(Q(1)) \rightarrow U(Q(1))$$

instead of the **usual evaluation map**

$$ev : Y(Q(1)) \rightarrow U(Q(1))$$

given by

$$t_{1,1}^{(1)} \mapsto -e_{1,1}, \quad t_{-1,1}^{(1)} \mapsto -f_{1,1}, \quad t_{i,j}^{(r)} \mapsto 0 \text{ for } r \geq 2$$

It is desirable to construct a homomorphism

$$Y(Q(1)) \longrightarrow W_\chi$$

using the evaluation map, but at the moment we do not have suitable generators in  $W_\chi$ .

**Conjecture.** Let  $\mathfrak{g}$  be a basic classical Lie superalgebra and  $e$  be regular nilpotent. Then it is possible to find a set of generators of  $W_\chi$  such that even generators commute, and the commutators of odd generators are in the center of  $U(\mathfrak{g})$ .

- We proved this conjecture for  $\mathfrak{g} = Q(n)$ . The proof is based on the surjective homomorphism:

$$\varphi : Y(Q(1)) \longrightarrow W_\chi,$$

and the following relation in  $Y(Q(1))$ :

- If  $r + s$  is even, then

$$[t_{1,1}^{(r)}, t_{1,1}^{(s)}] = 0.$$

## 8. THE CASE OF A REGULAR NILPOTENT $\chi$

- If  $\chi$  is **regular**, then  $\mathfrak{g}_0 = \mathfrak{h}$  is the Cartan subalgebra of  $\mathfrak{g}$ .

$$\mathfrak{h} = \langle x_i, \xi_i \mid i = 1, \dots, n \rangle, \text{ where } x_i = e_{i,i}, \xi_i = (-1)^{i+1} f_{i,i}$$

$x_i$  lie in the center of  $U(\mathfrak{h})$  and  $[\xi_i, \xi_i] = 2x_i$ .

- $U(\mathfrak{h}_{\bar{0}}) = \mathbb{C}[x_1, \dots, x_n]$  coincides with the center of  $U(\mathfrak{h})$ .

**Theorem (P–S)** Let  $M$  be a simple  $W_\chi$ -module. Then

$$\dim M \leq 2^{k+1}, \text{ where } k = \begin{cases} \frac{n}{2} & \text{if } n \text{ is even,} \\ \frac{n-1}{2} & \text{if } n \text{ is odd.} \end{cases}$$

The proof is based on the *Amitsur–Levitzki theorem*.

**Theorem. (A–L)** If  $A_1, \dots, A_{2n}$  are  $n \times n$  matrices, then

$$\sum_{\sigma \in S_{2n}} \operatorname{sgn}(\sigma) A_{\sigma(1)} \dots A_{\sigma(2n)} = 0.$$

*Idea of Proof.*

(1)  $U(\mathfrak{h})$  satisfies Amitsur–Levitzki identity, i.e. for any  $u_1, \dots, u_{2^{k+1}} \in U(\mathfrak{h})$

$$\sum_{\sigma \in S_{2^{k+1}}} \operatorname{sgn}(\sigma) u_{\sigma(1)} \dots u_{\sigma(2^{k+1})} = 0. \quad (*)$$

(2)  $W_\chi$  satisfies Amitsur–Levitzki identity, since  $W_\chi \cong \vartheta(W_\chi) \subset U(\mathfrak{h})$ .

(3) Consider  $M$  as a module over the associative algebra  $W_\chi$ , forgetting the  $\mathbb{Z}_2$ -grading. Then either  $M$  is simple or  $M$  is a direct sum of two non-homogeneous simple submodules  $M_1 \oplus M_2$ .

(a) In the former case  $\dim M \leq 2^k$ .

Assume  $\dim M > 2^k$ . Let  $V$  be a subspace of dimension  $2^k + 1$ . By density theorem for any  $X_1, \dots, X_{2^{k+1}} \in \text{End}_{\mathbb{C}}(V)$  one can find  $u_1, \dots, u_{2^{k+1}}$  in  $W_\chi$  such that  $(u_i)|_V = X_i$  for all  $i = 1, \dots, 2^{k+1}$ . Since  $\text{End}_{\mathbb{C}}(V)$  does not satisfy  $(*)$  we obtain a contradiction.

(b) In the latter case, we can prove in the same way that  $\dim M_1 \leq 2^k$  and  $\dim M_2 \leq 2^k$ . Therefore  $\dim M \leq 2^{k+1}$ .

## Theorem. (P–S)

For a basic classical Lie superalgebra or  $Q(n)$  and a regular nilpotent  $\chi$ , all irreducible representations of  $W_\chi$  are finite-dimensional:

$$\dim M \leq 2^{k+1}$$

Let  $d$  be the **defect** of  $\mathfrak{g}$ .

In other cases we set  $k = d$  or  $k = d + 1$ .

- $k = d$ , if  $\mathfrak{g}$  is of type I:  $\mathfrak{g} = \mathfrak{sl}(m|n)$ ,  $\mathfrak{osp}(2|2n)$ ,

or  $\mathfrak{g}$  is of type II and  $\dim(\mathfrak{g}_1^\chi)$  is even:  $\mathfrak{g} = \mathfrak{osp}(2m+1|2n)$  for  $m \geq n$ ,  
 $\mathfrak{osp}(2m|2n)$  for  $m \leq n$ ,  $G_3$ .

- $k = d + 1$ , if  $\mathfrak{g}$  is of type II and  $\dim(\mathfrak{g}_1^\chi)$  is odd:

$\mathfrak{g} = \mathfrak{osp}(2m+1|2n)$  for  $m < n$ ,  $\mathfrak{osp}(2m|2n)$  for  $m > n$ ,  $D(2, 1; \alpha)$ ,  $F_4$ .

## Idea of Proof.

1) If the good  $\mathbb{Z}$ -grading of  $\mathfrak{g}$  with respect to  $\chi$  is **even**, then there is an injective homomorphism

$$\vartheta : W_\chi \longrightarrow U(\mathfrak{g}_0).$$

2) If the good  $\mathbb{Z}$ -grading is **odd**, then there is an injective homomorphism

$$\vartheta : W_\chi \longrightarrow \bar{W}_\chi^{\mathfrak{s}},$$

where  $\bar{W}_\chi^{\mathfrak{s}}$  is “*the finite W-algebra*” of  $\mathfrak{s}$ :

$\mathfrak{s}$  is the Levi subalgebra of a parabolic subalgebra  $\mathfrak{p}$ , such that  $\mathfrak{n}^- \subset \mathfrak{m} \subset \mathfrak{p}^-$ , where  $\mathfrak{n}^-$  is the nilradical of the opposite parabolic  $\mathfrak{p}^-$ .

$\bar{W}_\chi^{\mathfrak{s}} = (U(\mathfrak{s}) \otimes_{U(\mathfrak{m}^{\mathfrak{s}})} C_\chi)^{\mathfrak{m}^{\mathfrak{s}}}$ , where  $\mathfrak{m}^{\mathfrak{s}} = \mathfrak{m} \cap \mathfrak{s}$ ,  $\chi$  is the restriction of  $\chi$  on  $\mathfrak{s}$ .

3) One can show that if  $\chi$  is regular, then  $U(\mathfrak{g}_0)$  (correspondingly,  $\bar{W}_\chi^{\mathfrak{s}}$ ) satisfies Amitsur–Levitzki identity. Hence  $W_\chi$  satisfies Amitsur–Levitzki identity.

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