Scaling limit of the ground state Bethe roots for the inhomogeneous XXZ spin - $\frac{1}{2}$ chain



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In Kotousov talk introduced were a wide class of ODEs but the integrable structure remains mostly unknown

$$\left[-\partial_y^2 + p^2 + \mathrm{e}^{(n+r)y} - (-1)^A E^r \, \mathrm{e}^{ry} - \sum_{(\mu,j)\in \Xi_{r,A}} c_{\mu,j} E^\mu \, \mathrm{e}^{\left((A\mu - rj)\frac{n+r}{r} + \mu\right)y}\right]\psi = 0$$

















- Scaling limit XXZ and connection to Schrödinger equation of 3D anharmonic oscillator/qKdV
- Scaling limit of inhomogenous XXZ
 - * Definition of the scaling limit
 - * Free fermion point
 - * Main conjecture
- Concluding remarks and possible future directions



Paradigm example: Homogeneous XXZ $\frac{1}{2}$ -spin chain

Hamiltonian of length N

$$\mathbb{H}_{\text{XXZ}} = -\sum_{m=1}^{N} \left(\sigma_m^x \sigma_{m+1}^x + \sigma_m^y \sigma_{m+1}^y + \frac{q+q^{-1}}{2} \sigma_m^z \sigma_{m+1}^z \right) \,.$$

quasi-periodic BCs

$$\sigma_{N+m}^{\mathsf{x}} \pm \mathrm{i}\,\sigma_{N+m}^{\mathsf{y}} = \mathrm{e}^{\pm 2\mathrm{i}\pi\mathsf{k}}\left(\sigma_{m}^{\mathsf{x}} \pm \mathrm{i}\,\sigma_{m}^{\mathsf{y}}\right), \qquad \sigma_{N+m}^{\mathsf{z}} = \sigma_{m}^{\mathsf{z}},$$

energy spectrum described by solutions of the Bethe Ansatz equations:

$$\left(\frac{1+q^{+1}\,\zeta_j}{1+q^{-1}\,\zeta_j}\right)^N = -\mathrm{e}^{2\mathrm{i}\pi \mathrm{k}}\,q^{2S^z}\,\prod_{i=1}^{N/2-S^z}\,\frac{\zeta_i-q^{+2}\,\zeta_j}{\zeta_i-q^{-2}\,\zeta_j}\,,\qquad E = -\sum_{j=1}^{N/2-S^z}\,\frac{2(q-q^{-1})}{\zeta_j+\zeta_j^{-1}+q+q^{-1}}\,$$



Critical behavior

For q being unimodular, i.e. $q = \mathrm{e}^{\mathrm{i}\gamma}$, the Hamiltonian possesses gapless excitations.

1D critical spin chains: low energy spectrum organizes into conformal towers [Cardy '86]

$$E \asymp Ne_{\infty} + rac{2\pi v_F}{N} \left(-rac{c}{12} + \Delta + \bar{\Delta} + L + \bar{L}
ight) + \dots$$

 Δ , $\overline{\Delta}$ conformal dimensions, L, $\overline{L} \geq 0$ levels, *c* central charge.

XXZ: Scaling limit is governed by compact boson $R = \sqrt{2\gamma}^{-1}$, i.e. [Luther-Peschel '75; Kadanoff-Brown '79; Alcaraz-Barber-Batchelor '88]

$$c = 1, \quad \Delta = P^{2} = \frac{1}{8\pi} \left(\frac{S^{z}}{R} + 2\pi R(\mathbf{w} + \mathbf{k}) \right)^{2}, \quad \bar{\Delta} = \bar{P}^{2} = \frac{1}{8\pi} \left(\frac{S^{z}}{R} - 2\pi R(\mathbf{w} + \mathbf{k}) \right)^{2},$$
$$\mathcal{H} = \bigoplus_{\mathbf{w}, S^{z} \in \mathbb{Z}} \mathcal{F}_{P} \otimes \bar{\mathcal{F}}_{\bar{P}} \qquad (\mathcal{F}_{P} - \text{Fock space})$$

$$\Psi_N \xrightarrow{N o \infty} \psi \otimes ar{\psi} \in \mathcal{F}_P \otimes ar{\mathcal{F}}_{ar{P}}$$

Low energy Bethe state in spin chain

Integrability: ψ ($\bar{\psi}$) eigenvector of commuting operators acting in \mathcal{F}_P ($\bar{\mathcal{F}}_{\bar{P}}$) \implies (qKdV see Kotousov's talk)



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Exploring integrable structures by scaling limit of spin chains!



How does this work in practice?

Consider the example of the ground state!



$$\left(rac{1+q^{+1}\;\zeta_j}{1+q^{-1}\;\zeta_j}
ight)^{{\sf N}} = -{
m e}^{2{
m i}\pi{
m k}}\,q^{2S^z}\;\prod_{i=1}^{{\sf N}/2-S^z}\;rac{\zeta_i-q^{+2}\;\zeta_j}{\zeta_i-q^{-2}\;\zeta_j}\;,$$

For small enough twist parameter k the root configuration of the ground state can be proven to be real [Yang-Yang '66]:

$$0 < \zeta_1 < \zeta_2 < \ldots < \zeta_{N/2} \; .$$

In the β -plane ($\zeta = e^{-2\beta}$) we can depict the ground state configuration as



















ODE for vacuum [BLZ '98]

Remarkably, the scaled edge roots

$$s_j = \lim_{N o \infty} (N/N_0)^{2(1-rac{\gamma}{\pi})} \zeta_j \; .$$

coincides with the eigenvalues E_j of the Schrödinger equation of the anharmonic oscillator

$$\left(-\frac{\mathrm{d}^2}{\mathrm{d}x^2}+\frac{\ell(\ell+1)}{x^2}+x^{2\alpha}-E\right)\Psi=0\ .$$

if one sets

$$\alpha = \frac{\pi}{\gamma} - 1 , \qquad \ell + \frac{1}{2} = \frac{\pi k}{\gamma}, \qquad N_0 = \frac{\sqrt{\pi} \Gamma\left(\frac{1}{2} + \frac{\pi}{2\pi - 2\gamma}\right)}{2\Gamma\left(1 + \frac{\pi}{2\pi - 2\gamma}\right)}.$$



The spectral determinant

The observation that

$$s_j = E_j$$

can be reformulated as

$$\lim_{N\to\infty} A_+\left(\left(N/N_0\right)^{-2(1-\frac{\gamma}{\pi})}E\right) = D_+(E) \qquad (\frac{\pi}{\gamma}>2)$$

where

$$A_{+}(\zeta) = \prod_{m=1}^{\frac{N}{2}-S_{z}} \left(1-\frac{\zeta}{\zeta_{m}}\right), \qquad D_{+}(E) = \prod_{m=1}^{\infty} \left(1-\frac{E}{E_{m}}\right)$$



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!!! The LHS is the eigenvalue of the generating function of the qKdV integrable structure !!!



Scaling limit of the Q operator

introduce the lattice Q operator

$$\mathbb{A}_+(\zeta) \ket{\Psi} = \prod_{m=1}^{rac{N}{2}-\mathcal{S}_z} \left(1-rac{\zeta}{\zeta_m}
ight) \ket{\Psi}$$

then

$$\begin{split} \sup_{N \to \infty} \mathbb{A}_{+} \left(\left(N/N_{0} \right)^{-2(1-\frac{\gamma}{\pi})} E \right) &= \mathbb{A}_{+}^{(\mathrm{QFT})}(\lambda) \quad \text{with} \quad E \propto \lambda^{2} \qquad \left(\frac{\pi}{\gamma} > 2 \right) \\ \mathbb{A}_{+}^{(\mathrm{QFT})}(\lambda) &= Z^{-1} \operatorname{Tr} \left[\mathrm{e}^{2\mathrm{i}\pi\beta p\mathcal{H}} \overleftarrow{\mathcal{P}} \exp\left(\lambda \int_{0}^{2\pi} \mathrm{d}x \left(\mathrm{e}^{+2\mathrm{i}\beta\phi} \, q^{\frac{\mathcal{H}}{2}} \, \mathcal{E}_{+} + \mathrm{e}^{-2\mathrm{i}\beta\phi} \, q^{-\frac{\mathcal{H}}{2}} \, \mathcal{E}_{-} \right) \right) \right] \end{split}$$

(defined in Kotousov talk)



What about the inhomogenous case?



Multiparameteric generalisation

The XXZ $\frac{1}{2}$ -spin chain is related to the homogeneous six-vertex model, a 2D classical statistical system [Baxter '71]. The latter admits an integrable multiparameteric generalisation. The BAE read

$$\prod_{J=1}^{N} \frac{\eta_{J} + q^{+1} \zeta_{j}}{\eta_{J} + q^{-1} \zeta_{j}} = -e^{\pm 2i\pi k} q^{2S^{z}} \prod_{i=1}^{N/2-S^{z}} \frac{\zeta_{i} - q^{+2} \zeta_{j}}{\zeta_{i} - q^{-2} \zeta_{j}} \qquad (j = 1, 2, \dots, \frac{N}{2} - S^{z})$$

The complex parameters $\{\eta_J\}_{J=1}^N$ are called inhomogeneities. We take N divisible by $r \in \mathbb{N}$ and we have the periodicity condition

$$\eta_{J+r} = \eta_J$$

Then one can introduce local Hamiltonian ${\mathbb H}$

- $r = 1 \Longrightarrow$ standard XXZ
- r = 2 [(Ikhelf)-Jacobsen-Saleur '05('06,'11); Frahm-Seel '14; Bazhanov-Kotousov-Koval-Lukyanov '19'21'21]
- \mathbb{H} includes interaction up to r + 1 adjacents spins.



extra \mathcal{Z}_r symmetry by setting

$$\eta_{\ell} = (-1)^r e^{rac{\mathrm{i}\pi}{r}(2\ell-1)}$$
 $(\ell = 1, \dots, r)$

system critical when $q={
m e}^{{
m i}\gamma}$ but the critical behaviour described differently in each sector

$$\frac{\pi}{r}A < \gamma < \frac{\pi}{r}\left(A+1\right).$$

useful parameterization of γ

$$\gamma = \frac{\pi}{r} A + \frac{\pi}{n+r}$$
 (A = 0, 1, ..., r - 1, n > 0).



The Z_r invariant case: Bethe roots

The ground state Bethe roots arrange a simple pattern

$$\arg(\zeta) = \frac{\pi}{r} \left(2 \left(a - 1 \right) - A \right) \qquad a = 1, \dots, r$$





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Reduction for
$$|\zeta_j^{(a)}|^r$$
 to XXZ
with $q \mapsto e^{rac{i\pi r}{n+r}}$, $N \mapsto N/r$



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The limits

$$E_m^{(a)} = \lim_{N \to \infty \atop m - \text{fixed}} \left(\frac{N}{rN_0}\right)^{\frac{2n}{r(n+r)}} \zeta_m^{(a)}$$

exist and are non-vanishing.

The numbers $E_m^{(a)}$ are then expressed in terms of the spectrum of an ODE deducted from the reduction to XXZ.



The vacuum ODE for \mathcal{Z}_r invariant case

XXZ:

 \mathcal{Z}_r invariant case:

$$\begin{pmatrix} -\frac{\mathrm{d}^2}{\mathrm{d}x^2} + \frac{\ell(\ell+1)}{x^2} + x^{2\alpha} - (-1)^A E^r \end{pmatrix} \Psi = 0 ,$$

$$\alpha = \frac{n}{r} , \qquad \ell + \frac{1}{2} = \frac{n+r}{r} \mathbf{k}.$$



Away from the \mathcal{Z}_r invariant case

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$$\eta_{\ell} \neq (-1)^{r} \operatorname{e}^{\frac{\mathrm{i}\pi}{r}(2\ell-1)} \qquad (\ell = 1, \dots, r)$$

The Bethe roots align on certain loci on the complex plane, yielding no scaling behaviour of the roots e.g. at the free fermionic point for r = 3, $\eta_1 = -e^{i\delta}$, $\eta_2 = -e^{-i\delta}$, $\eta_3 = 1$ and $\delta = \frac{\pi}{3} - \frac{1}{10}$



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Idea:

Assign a dependence of the system size N to the inhomogeneities such that

$$\lim_{N\to\infty}\eta_{\ell}(N)=(-1)^{r}\operatorname{e}^{\frac{i\pi}{r}(2\ell-1)}\qquad \qquad (\ell=1,\ldots,r)$$



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Goal:

Arrange the scaling procedure with

$$\eta_\ell(\mathsf{N}) o (-1)^r \, \mathrm{e}^{rac{\mathrm{i} \pi}{r} \, (2\ell-1)}$$

such that the following conditions are met

1.
$$\lim_{N\to\infty} \left(\frac{N}{rN_0}\right)^{\frac{2n}{r(n+r)}} \zeta_m^{(a)}$$
 exists

2. The limiting value is different from the Z_r invariant case and described by a deformed ODE

$$\left[-\partial_y^2 + p^2 + e^{(n+r)y} - (-1)^A E^r e^{ry} - \delta U(y)\right]\psi = 0$$

$$(y = rac{2}{r}\log(x) + rac{2}{n+r}\log(rac{r}{2})$$
 and $\psi = x^{-rac{1}{2}}\Psi)$ $p = rac{n+r}{2}$ k

We call this 'softly breaking' the \mathcal{Z}_r symmetry.



The free fermion point: $A = \frac{r-1}{2}$ and n = r (r odd)

For the ground state at the free fermion point we have the Bethe ansatz equations

$$\frac{N}{i\pi r} \log\left(\prod_{\ell=1}^{r} \frac{1+i\zeta_{m}^{(a)}/\eta_{\ell}}{1-i\zeta_{m}^{(a)}/\eta_{\ell}}\right) = 2m-1+2k \qquad (m=1,2,\ldots,N/(2r))$$

To perform the scaling limit, we write $\boldsymbol{\zeta}$ into the form

$$\zeta = \left(\frac{\pi}{2N}\right)^{\frac{1}{r}} E$$

and keep *E* fixed as $N \to \infty$ to obtain

$$\sum_{k=0}^{A} (-1)^k \mathfrak{a}_{2k+1} (E_k^{(a)})^{2k+1} = 2m - 1 + 2k$$

where the following are also kept fixed

$$\mathfrak{a}_{2k+1} = \frac{1}{2k+1} \left(\frac{2N}{\pi}\right)^{1-\frac{2k+1}{r}} \frac{1}{r} \sum_{\ell=1}^{r} \eta_{\ell}^{-2k-1} \qquad (k=0,\ldots,(r-1)/2)$$



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Introducing new ODE

Now: come up with a ODE with 'eigenvalues'* $E_m^{(a)}$ obeying

$$\sum_{k=0}^{A} (-1)^k \mathfrak{a}_{2k+1} (E_k^{(a)})^{2k+1} = 2m - 1 + 2k$$

Start from the harmonic oscillator in disguise (confluent hypergeometrical equation)

$$\left[-\partial_y^2 + p^2 + e^{2ry} - r\lambda(E)e^{ry}\right]\psi = 0$$

$$\psi_p = e^{py} e^{-\frac{1}{r}e^{ry}} {}_1F_1\left(\frac{1}{2} + \frac{p}{r} - \frac{\lambda}{2}, 1 + \frac{2p}{r}, \frac{2}{r}e^{ry}\right)$$

*By eigenvalues we mean that the ODE possesses a normalizable solution for $E = E_m^{(a)}$.

The asymptotic behaviour for $y \to \infty$ is given by

$$\psi_{p}(y) \asymp (2r)^{\frac{p}{r}+\frac{1}{2}} \frac{\Gamma(1+\frac{p}{r})}{2\sqrt{\pi}} \left(\frac{r}{2}\right)^{\frac{\lambda}{2}} D_{+} \exp\left\{\frac{1}{r} \mathrm{e}^{ry} - (\lambda+1)\frac{ry}{2}\right\}$$

where

$$D_{+} = \frac{\Gamma(\frac{1}{2} + \frac{p}{r})}{\Gamma(\frac{1}{2} + \frac{p}{r} - \frac{1}{2}\lambda)}$$

The function ψ_p vanishes at large y only if $D_+ = 0$, i.e. we have pole of the Γ function in the denominator \implies spectrum is defined by the equation

$$\lambda(E)=2m-1+\frac{2p}{r}$$

Hence, we simply must set in the ODE

$$\lambda(E) = \sum_{k=0}^{A} (-1)^k \mathfrak{a}_{2k+1} (E_k^{(a)})^{2k+1}$$



away for the free fermion point, we propose the ODE

$$\left[-\partial_{y}^{2}+p^{2}+e^{(n+r)y}-(-1)^{A}E^{r}e^{ry}-\sum_{j=0}^{A-1}c_{2j+1}E^{2j+1}e^{\left(\frac{n+r}{2}-\frac{n-r}{2r}(2j+1)\right)y}\right]\psi=0$$



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 $\text{if } c_{2j+1} = 0 \quad \Longrightarrow \quad \mathcal{Z}_r \text{ invariant case} \\$



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 $\begin{array}{ll} \text{if } c_{2j+1}=0 & \Longrightarrow & \mathcal{Z}_r \text{ invariant case} \\ \text{if } n=r \text{ and we set } c_{2j+1}=(-1)^j \ r \ \mathfrak{a}_{2j+1} & \Longrightarrow & \mathcal{Z}_r\text{-softly broken free fermions} \end{array}$



away for the free fermion point, we propose the $\ensuremath{\mathsf{ODE}}$

$$\left[-\partial_{y}^{2} + p^{2} + e^{(n+r)y} - (-1)^{A} E^{r} e^{ry} - \sum_{j=0}^{A-1} c_{2j+1} E^{2j+1} e^{\left(\frac{n+r}{2} - \frac{n-r}{2r}(2j+1)\right)y} \right] \psi = 0$$

if $c_{2j+1} = 0 \implies Z_r$ invariant case if n = r and we set $c_{2j+1} = (-1)^j r \mathfrak{a}_{2j+1} \implies Z_r$ -softly broken free fermions in general connection of c's and \mathfrak{a} 's is rather cumbersome. For example for r = 5 we have

$$c_{1} = C_{0}^{(0)} \mathfrak{a}_{1} + \frac{n-5}{20n} \left(\left(C_{1}^{(1)} \right)^{2} - 5C_{0}^{(0)} \right) \mathfrak{a}_{3}^{2}, \qquad c_{3} = C_{1}^{(1)} \mathfrak{a}_{3},$$
$$C_{j}^{(j)} = (-1)^{j} \frac{r \Gamma(\frac{r}{2n}) \Gamma(1 - \frac{(2j+1)(n-r)}{2rn})}{\Gamma(\frac{1}{2} + \frac{r}{2n}) \Gamma(\frac{1}{2} - \frac{(2j+1)(n-r)}{2rn})} .$$



The numerical validation: sum rules

$$\lim_{N\to\infty} A_+\left(\left(N/(rN_0)\right)^{-\frac{2n}{r(n+r)}} E\right) = D_+(E), \qquad (n>r)$$

expand both sites for small E to get

$$\lim_{N\to\infty}\left(\frac{N}{rN_0}\right)^{-\frac{2sn}{r(n+r)}}h_s^{(N)}=J_s\,,$$

$$\log(D_+(E)) = -\sum_{s=1}^{\infty} J_s E^s \quad \text{and} \quad \log(A_+(\zeta)) = -\sum_{j=1}^{\infty} h_j^{(N)} \zeta^j.$$

where

$$h_j^{(N)} = \frac{1}{j} \sum_{m=1}^{N/2} \zeta_m^{-j}.$$



The numerical validation: sum rules

$$J_{s} = \operatorname{slim}_{N \to \infty} \left(\frac{N}{rN_{0}}\right)^{-\frac{2sn}{r(n+r)}} \frac{1}{s} \sum_{m=1}^{N/2} \zeta_{m}^{-s}$$

$$J's \text{ from perturbation theory of ODE} \qquad \qquad \frac{1}{s} \sum_{m=1}^{N/2} \zeta_{m}^{-s} \text{ from Bethe ansatz}$$



Example:
$$r = 3$$
, $A = 1$, $h_1^{(N)}$



$$J_{1} = c_{1}\rho_{1} f_{1}(h, g_{0})$$
$$f_{1}(h, g) = \frac{\pi\Gamma(1-2g)}{\sin(\pi g)} \frac{\Gamma(g+2h)}{\Gamma(1-g+2h)}$$
$$\rho_{1} = \frac{(n+r)^{2g_{0}-2}}{\Gamma^{2}(1-g_{0})}$$
$$g_{0} = \frac{1}{2} - \frac{n-r}{2r(n+r)}$$

General conjecture

For given r and $A = 0, 1, \ldots, r-1$

$$\gamma = \frac{\pi}{r} A + \frac{\pi}{n+r} \qquad (n > 0)$$

and fixed

$$\mathfrak{a}_s = \frac{1}{s} \left(\frac{N}{rN_0}\right)^{d_s} \frac{1}{r} \sum_{\ell=1}^r (\eta_\ell)^{-s},$$

we can adjust the d_s such that the scaling limit is governed by the ODE

$$\left[-\partial_{y}^{2} + p^{2} + e^{(n+r)y} - (-1)^{A} E^{r} e^{ry} - \sum_{(\mu,j)\in \Xi_{r,A}} c_{\mu,j} E^{\mu} e^{\left((A\mu - rj)\frac{n+r}{r} + \mu\right)y} \right] \psi = 0$$

with a polynomial relation between $\{\mathfrak{a}_s\}$ and $\{c_{\mu,j}\}$.



General conjecture

The set $\Xi_{r,A}$ is given by

$$\begin{split} \Xi_{r,0} &= \left\{ (\mu,j) : \ \mu = 1, 2, \dots, r-1 \ \& \ j = 0 \right\}, & \text{for} & A = 0 \\ \Xi_{r,A} &= \left\{ (\mu,j) : \ \frac{rj}{A} < \mu < \frac{r}{A+1} \ (j+1) \ \& \ j \ge 0 \right\} & \text{for} & A = 1, 2, \dots, r-2, \\ \Xi_{r,r-1} &= \left\{ (\mu,j) : \ \mu = j+1 \ \& \ j = 0, 1, \dots, r-2 \right\} & \text{for} & A = r-1 \ (GAGM). \end{split}$$

the exponents d_s must be found case by case!

A = 1, r - 1 and r odd $A = \frac{r-1}{2}$ and r even $A = \frac{r}{2} - 1, \frac{r}{2}$ we have classified the d_s and present explicit relation between c's and a's

also how to organise the scaling limit to reach ODE:

$$\left[-\partial_{y}^{2} + p^{2} + e^{(n+r)y} - (-1)^{A} E^{r} e^{ry} - c_{\mu,j} E^{\mu} e^{\left((A\mu - rj)\frac{n+r}{r} + \mu\right)y} \right] \psi = 0$$

Summary and conclusion

• We described how to organise scaling limit of inhomogeneous XXZ spin chain to obtain the ODE

$$\left[-\partial_y^2 + p^2 + e^{(n+r)y} - (-1)^A E^r e^{ry} - \sum_{(\mu,j)\in \Xi_{r,A}} c_{\mu,j} E^\mu e^{\left((A\mu - rj)\frac{n+r}{r} + \mu\right)y} \right] \psi = 0 \ .$$

- This means the ODE is involved in an ODE/IQFT correspondence for a new multiparametric integrable structure in CFT!!!
- Despite that we do not have a field theory construction of the IQFT, the scaling limit of the lattice system provides a definition of the field theory Q operator which generates the integrals of motion.



• Study the CFT/integrable structure of the critical inhomogeneous XXZ spin chain (including excited states)

 \implies uncovering the field theories governing the critical behaviours of inhomogenous six-vertex model? [Lukyanov]

• Higher spin generalizations? [Kotousov, Frahm]

• Different underlying symmetry algebras such as $A_2^{(2)}$ [Jacobsen, Retore] \implies Higher rank such as $D_n^{(2)}$ [Nepomechie, Retore]



Thank you!

